COHA OF A PREPROJECTIVE ALGEBRA AND SHUFFLE ALGEBRAS

NOAH ARBESFELD

1. Preprojective algebra of a quiver

Let Q be a quiver with vertex set Q_0 and edge set Q_1 . We allow Q to have multiple edges and loops. Given a dimension vector $\mathbf{d} = (d_i)_{i \in Q_0} \in \mathbb{Z}_{\geq 0}^{|Q_0|}$, we let $\operatorname{Rep}(Q, \mathbf{d})$ denote the affine space of representations of Q of dimension \mathbf{d} .

Let \overline{Q} denote the doubled quiver of Q; the quiver \overline{Q} has vertex set Q_0 , and edge set $\{x, x^* | x \in Q_1\}$, where x^* points in the opposite direction of x. Given a dimension vector \mathbf{d} for Q (and \overline{Q}), there is a canonical isomorphism $\operatorname{Rep}(\overline{Q}, \mathbf{d}) \cong T^*\operatorname{Rep}(Q, \mathbf{d})$ induced by the trace pairing.

The group $GL_{\mathbf{d}} := \prod_{i \in Q_0} GL_{d_i}$ acts on $\operatorname{Rep}(Q, d)$ by conjugation at each vertex. This action induces a Hamiltonian action on the symplectic vector space $\operatorname{Rep}(\overline{Q}, \mathbf{d})$. Under the identification $\mathfrak{gl}_{\mathbf{d}}^{\vee} \cong \mathfrak{gl}_{\mathbf{d}}$ given by the Killing form, this action has moment map $\mu_{\mathbf{d}}$: $\operatorname{Rep}(\overline{Q}, \mathbf{d}) \to \mathfrak{gl}_{\mathbf{d}}$ given by

$$(x, x^*)_{x \in Q_1} \mapsto \sum_{x \in Q_1} [x, x^*].$$

Let $\mathbb{C}Q$ denote the path algebra of Q. The *preprojective algebra* Π_Q of Q is defined to be the quotient

$$\mathbb{C}\overline{Q} \Big/ \sum_{x \in Q_1} [x, x^*].$$

In other words, Π_Q -modules are those $\mathbb{C}\overline{Q}$ -modules which are annihilated by $\mu_d^{-1}(0)$.

A key feature of the algebras Π_Q is the following:

Proposition 1.1. [SV20, e.g. Proposition 3.1] For Q not of Dynkin type, the algebra Π_Q is 2-Calabi-Yau.

This result can be extended to the case when Q is Dynkin by replacing Π_Q with a dg analog; see [DHM22, 2.2] or [K20, 0.2.15]. Namely, let \tilde{Q} denote the triple quiver obtained from \overline{Q} by adding a new loop t_i at each $i \in Q_0$ and equip $\mathbb{C}\tilde{Q}$ with the grading such that t_i have degree -1 and paths in \overline{Q} have degree 0. If d is the unique differential on $\mathbb{C}\tilde{Q}$ sending $t_i \mapsto e_i \sum_{x \in Q_1} [x, x^*]e_i$ where $e_i \in \mathbb{C}Q$ is the constant path at i, then the differential graded algebra ($\mathbb{C}\tilde{Q}, d$) is differential-graded 2-Calabi-Yau. The dga ($\mathbb{C}\tilde{Q}, d$) has zeroth cohomology Π_Q ; for Q non-Dynkin, the map ($\mathbb{C}\tilde{Q}, d$) $\to \Pi_Q$ is a quasi-isomorphism.

2. Cohomological Hall algebra of a preprojective algebra

2.1. **Definition.** Our aim is to equip the equivariant homology of the moduli stack

 $\sqcup_d \mu_{\mathbf{d}}^{-1}(0)/GL_{\mathbf{d}}$

of Π_Q -representations with the structure of a cohomological Hall algebra. We follow [YZ18, §4-5] (see also [YZ20, §3.1-3.2]), which extends to arbitrary Q an argument developed for the Jordan quiver in [SV13a, SV13b].

Let $\mathbf{d}_1, \mathbf{d}_2 \in \mathbb{Z}_{\geq 0}^{|Q_0|}$ be two dimension vectors corresponding to $|Q_0|$ -tuples V_1 and V_2 of vector spaces and set $\mathbf{d} = \mathbf{d}_1 + \mathbf{d}_2$. Let $G = GL_{\mathbf{d}}$, P be the parabolic subgroup $\{g \in G | g(V_1) \subset V_1\}$ and L be the Levi subgroup $GL_{\mathbf{d}_1} \times GL_{\mathbf{d}_2}$. Let $\mathfrak{g}, \mathfrak{p}$ and \mathfrak{l} be the respective Lie algebras. We set

$$\operatorname{Rep}(Q)_{\mathbf{d}_1,\mathbf{d}_2} = \{ x \in \operatorname{Rep}(Q,\mathbf{d}) | x(V_1) \subset V_1 \},\$$

and define $\operatorname{Rep}(\overline{Q})_{\mathbf{d}_1,\mathbf{d}_2}$ analogously.

We previously saw that the stack of representations of a quiver can be equipped with a COHA structure using pull-push along the following correspondence of G-varieties:

$$G \times_P \left(\operatorname{Rep}(Q, \mathbf{d}_1) \times \operatorname{Rep}(Q, \mathbf{d}_2) \right) \leftarrow G \times_P \operatorname{Rep}(Q)_{\mathbf{d}_1, \mathbf{d}_2} \to \operatorname{Rep}(Q, \mathbf{d}),$$
 (2.1)

where the left arrow is projection and the right arrow is G-action.

For the stack of preprojective representations, a first attempt could be to run the same procedure for the analogous correspondence

$$G \times_P \left(\mu_{\mathbf{d}_1}^{-1}(0) \times \mu_{\mathbf{d}_2}^{-1}(0) \right) \xleftarrow{\overline{g}} G \times_P \left(\mu_{\mathbf{d}}^{-1}(0) \cap \operatorname{Rep}(\overline{Q})_{\mathbf{d}_1,\mathbf{d}_2} \right) \xrightarrow{\overline{h}} \mu_{\mathbf{d}}^{-1}(0).$$
(2.2)

The map \overline{h} is proper, but the map \overline{g} need not be a locally complete intersection. So, the pullback \overline{g}^* is not well-defined.

The fix is to include (2.2) in the correspondence (2.1) for \overline{Q} with the left term slightly enlarged. Let $\pi : \mathfrak{p} \to \mathfrak{l}$ denote the projection and define

$$\overline{Z}_{\mathbf{d}_1,\mathbf{d}_2} = \Big\{ (a,\overline{x}_1,\overline{x}_2) \in \mathfrak{p} \times \operatorname{Rep}(\overline{Q},\mathbf{d}_1) \times \operatorname{Rep}(\overline{Q},\mathbf{d}_2) \mid \pi(a) = \big(\mu_{\mathbf{d}_1}(\overline{x}_1),\mu_{\mathbf{d}_2}(\overline{x}_2)\big) \Big\}.$$

Consider the diagram

of G-varieties. Then the left-hand square is Cartesian and g is a map between smooth varieties and is therefore l.c.i.. Also, note that \overline{h} is proper.

So, let A_* denote any oriented Borel-Moore homology theory (examples include locally finite singular homology and Chow with rational coefficients). Then, there exists a refined

Gysin pullback map

$$g^{\sharp}: A_G\Big(G \times_P \big(\mu_{\mathbf{d}_1}^{-1}(0) \times \mu_{\mathbf{d}_2}^{-1}(0)\big)\Big) \to A_G\Big(G \times_P \big(\mu_{\mathbf{d}}^{-1}(0) \cap \operatorname{Rep}(\overline{Q})_{\mathbf{d}_1,\mathbf{d}_2}\big)\Big).$$

The constructions in the previous paragraph can be equipped with the following helpful extra equivariance. Let $T = \text{diag}(t_1, t_2)$ act on $\text{Rep}(\overline{Q}, \mathbf{d})$ such that all paths x have weight t_1 and all paths x^* have weight t_2 . (This assumption can be slightly weakened at the cost of complicating the explicit shuffle formulas; see [YZ18, Assumption 3.1] and [SV20, §3.3].) Then, the diagram (2.3) is $G \times T$ -equivariant.

Set $\mathcal{P}(Q) = \bigoplus_{\mathbf{d}} \mathcal{P}_{\mathbf{d}}(Q) = \bigoplus_{\mathbf{d}} A_{GL_{\mathbf{d}} \times T}(\mu_{\mathbf{d}}^{-1}(0))$. The COHA product $\mathcal{P}_{\mathbf{d}_1} \otimes_{A(\mathrm{pt})[[t_1, t_2]]} \mathcal{P}_{\mathbf{d}_2} \to \mathbb{C}$ $\mathcal{P}_{\mathbf{d}_1+\mathbf{d}_2}$ is defined as the composition of the following maps.

- The Künneth homomorphism $P_{\mathbf{d}_1} \otimes_{A(\mathrm{pt})[[t_1,t_2]]} P_{\mathbf{d}_2} \to A_{L \times T}(\mu_{\mathbf{d}_1}^{-1}(0) \times \mu_{\mathbf{d}_2}^{-1}(0))$ The isomorphism $A_{L \times T}(\mu_{\mathbf{d}_1}^{-1}(0) \times \mu_{\mathbf{d}_2}^{-1}(0)) \cong A_{G \times T}\left(G \times_P\left(\mu_{\mathbf{d}_1}^{-1}(0) \times \mu_{\mathbf{d}_2}^{-1}(0)\right)\right)$ induced by the homotopy equivalence $P \twoheadrightarrow L$.
- The refined Gysin pullback

$$g^{\sharp}: A_{G \times T} \Big(G \times_P \big(\mu_{\mathbf{d}_1}^{-1}(0) \times \mu_{\mathbf{d}_2}^{-1}(0) \big) \Big) \to A_{G \times T} \Big(G \times_P \big(\mu_{\mathbf{d}}^{-1}(0) \cap \operatorname{Rep}(\overline{Q})_{\mathbf{d}_1, \mathbf{d}_2} \big) \Big).$$

• The proper pushforward $\overline{h}_* : A_{G \times T} \left(G \times_P \left(\mu_{\mathbf{d}}^{-1}(0) \cap \operatorname{Rep}(\overline{Q})_{\mathbf{d}_1, \mathbf{d}_2} \right) \right) \to P_{\mathbf{d}}.$

Theorem 2.1. [SV13a, Proposition 7.5], [YZ18, Theorem 4.1] Equipped with the above product, the homology $\mathcal{P}(Q)$ carries the structure of a $\mathbb{Z}_{\geq 0}^{|Q_0|}$ -graded associative algebra over $A_T(pt)$.

The associative algebra $\mathcal{P}(Q)$ is called the preprojective COHA.

2.2. **Properties.** The correspondence (2.3) is a special case of a robust Lagrangian correspondence formalism that can be applied to define more examples of COHA and establish more properties. For example, such a correspondence produces COHA actions on homology of Nakajima quiver varieties.

Given a quiver Q, let Q^f denote the framed quiver consisting of Q along with a new set Q'_0 of $|Q_0|$ vertices and a new set of $|Q_0|$ edges $\{y_i\}_{i\in Q_0}$ such that the new edges induce a bijection $Q'_0 \to Q_0$. Given dimension vectors \mathbf{d}, \mathbf{w} corresponding to $|Q_0|$ -tuples V, W of vector spaces, let $\operatorname{Rep}(Q^f, \mathbf{d}, \mathbf{w})$ denote the set of representations of the doubled framed quiver $\overline{Q^f}$ with dimension vector \mathbf{d} at Q_0 and \mathbf{w} at Q'_0 and let $\mu_{\mathbf{d},\mathbf{w}} : \operatorname{Rep}(\overline{Q^f}, \mathbf{d}, \mathbf{w}) \to \mathfrak{gl}_{\mathbf{d}}$ be the associated moment map.

For our purposes, given some stability condition $\theta : GL_{\mathbf{d}} \to \mathbb{G}_m$, the Nakajima quiver variety is defined as

$$\mathcal{M}_{\theta}(Q, \mathbf{d}, \mathbf{w}) := \mu_{\mathbf{d}, \mathbf{w}}^{-1}(0)^{\theta^{\mathrm{ss}}} / / GL_{\mathbf{d}},$$

where here and elsewhere the superscript θ^{ss} denotes the subset of θ -semistable representations.

Now, given dimension vectors $\mathbf{d}_1, \mathbf{d}_2, \mathbf{w}$ corresponding to tuples of vectors spaces V_1, V_2 and W with $\mathbf{d}_1 + \mathbf{d}_2 = \mathbf{d}$, we introduce the following correspondence between \overline{Q}^f -representations and \overline{Q} representations. Set

$$\operatorname{Rep}(\overline{Q^f}, \mathbf{d}_1, \mathbf{d}_2, \mathbf{w}) := \left\{ (x, x^*), (y_i, y_i^*) \in \operatorname{Rep}(\overline{Q^f}, \mathbf{d}, \mathbf{w}) | x(V_1) \subset V_1, x^*(V_1) \subset V_1, y_i(W_i) \subset V_1 \right\}.$$
Let $\theta_i : GL_i \to \mathbb{C}$ denote the stability condition sending $(q_i)_{i \in \mathcal{Q}} \mapsto \Pi$, $\det(q_i)^{-1}$

Let $\theta_+ : GL_{\mathbf{d}} \to \mathbb{G}_m$ denote the stability condition sending $(g_i)_{i \in Q_0} \mapsto \prod_{i \in Q_0} \det(g_i)^{-1}$ Then, set

$$\overline{Z^{f}}_{\mathbf{d}_{1},\mathbf{d}_{2},\mathbf{w}} = \left\{ a, \overline{x_{1}}, \overline{x_{2}} \in \mathfrak{p} \times \operatorname{Rep}(\overline{Q_{f}}(\mathbf{d}_{1},\mathbf{w}))^{\theta_{+}^{\mathrm{ss}}} \times \operatorname{Rep}(\overline{Q},\mathbf{d}_{2}) \middle| \pi(a) = \left(\mu_{\mathbf{d}_{1}}(\overline{x}_{1}), \mu_{\mathbf{d}_{2}}(\overline{x}_{2}) \right) \right\}$$

One then has the following analog of (2.3).

$$G \times_{P} \left(\mu_{\mathbf{d}_{1},\mathbf{w}}^{-1}(0)^{\mathrm{ss}} \times \mu_{\mathbf{d}_{2}}^{-1}(0) \right) \xleftarrow{\overline{g'}} G \times_{P} \left(\mu_{\mathbf{d},\mathbf{w}}^{-1}(0)^{\mathrm{ss}} \cap \operatorname{Rep}(\overline{Q^{f}})_{\mathbf{d}_{1},\mathbf{d}_{2},\mathbf{w}} \right) \xrightarrow{\overline{h'}} \mu_{\mathbf{d}}^{-1}(0)^{\mathrm{ss}} \left(\int_{G \times_{P} \overline{Z^{f}}_{\mathbf{d}_{1},\mathbf{d}_{2},\mathbf{w}}} G \times_{P} \operatorname{Rep}(\overline{Q^{f}})_{\mathbf{d}_{1},\mathbf{d}_{2},\mathbf{w}}^{\mathrm{ss}} \xrightarrow{h'} \operatorname{Rep}(\overline{Q^{f}},\mathbf{d},\mathbf{w})^{\mathrm{ss}} \right)$$

$$(2.4)$$

where all semistability is taken with respect to θ_+ . As for (2.3), the left square is Cartesian and g' is l.c.i..

Following the same procedure as in the previous section, the correspondences (2.4) yield multiplication maps

$$\mathcal{P}_{\mathbf{d}_1} \otimes A_{GL_{\mathbf{d}_2} \times T \times GL_{\mathbf{w}}} \big(\mathcal{M}_{\theta_+}(Q, \mathbf{d}_2, \mathbf{w}) \big) \to A_{GL_{\mathbf{d}_1 + \mathbf{d}_2} \times T \times GL_{\mathbf{w}}} \big(\mathcal{M}_{\theta_+}(Q, \mathbf{d}_1 + \mathbf{d}_2, \mathbf{w}) \big).$$

An argument similar to that used to prove associativity of the COHA multiplication implies the following.

Theorem 2.2. [SV13a, Proposition 7.9], [YZ18, Theorem 5.4] Fix w. Then, the sum

$$\oplus_{\mathbf{d}} A_{GL_{\mathbf{d}} \times T}(\mathcal{M}_{\theta_{+}}(Q, \mathbf{d}, \mathbf{w}))$$

carries the structure of a $\mathcal{P}(Q)$ -representation.

It is also fruitful to study COHA structures for Serre subcategories of Π_Q – Rep. For example, [SV20, DHM22] construct and use COHA for subcategories of preprojective representations satisfying certain semi-nilpotency conditions. Namely, a quiver representation is said to be semi-nilpotent if it admits a flag F_j such that $x(F_j) \subset F_{j-1}$ and $x^*(F_j) \subset F_j$, and strongly semi-nilpotent if there exists such a flag for which each quotient L_j/L_{j-1} is nonzero on only one vertex. Moduli of such representations comprise Lagrangian subvarieties of Rep(\overline{Q}). In [SV20], the resulting COHA are shown to act on the Borel-Moore homology of Nakajima quiver varieties for generic stability conditions θ . We remark that in [SV20], the COHA is defined to be a certain extension of the algebra on the stack of semi-nilpotent representations by a commutative algebra, given by multiplication by Chern classes of tautological bundles.

3. Embedding in a shuffle algebra

The Hall products given by the two correspondences in (2.3) are compatible in the following sense.

Proposition 3.1. [SV13b, Proposition 4.6], [YZ18, Theorem 5.3] The $GL_{\mathbf{d}} \times T$ -equivariant closed embeddings $\iota : \mu_{\mathbf{d}}^{-1}(0) \hookrightarrow \operatorname{Rep}(\overline{Q}, \mathbf{d})$ induce homomorphisms

$$A_{GL_{\mathbf{d}} \times T}(\mu_{\mathbf{d}}^{-1}(0)) \to A_{GL_{\mathbf{d}} \times T} \operatorname{Rep}(\overline{Q}, \mathbf{d})$$
 (3.1)

which intertwine the Hall multiplication.

The Proposition is a consequence of the following special case of the proper base change property for the refined Gysin pullback ([LM07, Theorem 6.6.2(a)]). Suppose the diagram

$$\begin{array}{c} W' \xrightarrow{\overline{f}} X' \\ \downarrow_i & \downarrow_j \\ \psi & f \\ W \xrightarrow{f} X \end{array}$$

is Cartesian, that f is l.c.i. and that j is proper. Then $i_*f^{\sharp} = f^*j_*$.

In the previous talk, we learned that that Hall product on the equivariant cohomology of the affine spaces $\operatorname{Rep}(\overline{Q}, \mathbf{d})$ can be identified with the shuffle product. After incorporating the equivariant parameters of the the torus T, the Hall product under the identification

$$\oplus_{\mathbf{d}} H^*_{GL_{\mathbf{d}} \times T} \big(\operatorname{Rep}(\overline{Q}, \mathbf{d}) \big) \cong \mathbb{Q}[t_1, t_2][z_s^{(i)} \mid i \in Q_0, 1 \le s \le d_i]^{\prod_i \operatorname{Sym}(d_i)},$$

takes the following form. Given

$$f_k \in H^*_{GL_{\mathbf{d}_k} \times T} \left(\operatorname{Rep}(\overline{Q}, \mathbf{d}_k) \right), \ k = 1, 2,$$

one has

$$f_1(z') * f_2(z'') = \sum_{\sigma \in \prod_i \operatorname{Sh}((d_1)_i, (d_2)_i)} \sigma(f_1 \cdot f_2 \cdot T_1 \cdot T_2),$$

where

$$T_{1} = \prod_{i \in Q_{0}} \prod_{s=1}^{(d_{1})_{i}} \prod_{t=1}^{(d_{2})_{i}} \frac{-z_{t}^{(i)''} + z_{s}^{(i)'} + t_{1} + t_{2}}{z_{t}^{(i)''} - z_{s}^{(i)'}}$$
$$T_{2} = \prod_{i,j \in Q_{0}} \prod_{s=1}^{(d_{1})_{i}} \prod_{t=1}^{(d_{2})_{j}} (z_{t}^{(j)''} - z_{s}^{(i)'} + t_{1})^{a_{ij}} (z_{t}^{(j)''} - z_{s}^{(i)'} + t_{2})^{a_{jj}}$$

,

and a_{ij} denotes the number of edges from *i* to *j* in *Q*. The same formula holds for an arbitrary oriented cohomology theory after replacing addition with the associated formal group law; see [YZ18, §3.1]. Let \mathcal{SH} denote $\sqcup_{\mathbf{d}} A_{GL_{\mathbf{d}} \times T}(\operatorname{Rep}(\overline{Q}, \mathbf{d}))$ equipped with the shuffle product.

For example, suppose Q is the Jordan quiver. Working in equivariant cohomology with complex coefficients, the degree d = 1 piece of the map

$$\sqcup_d H^*_{GL_d \times T}(\mu_1^{-1}(0)) \to \sqcup_d \mathbb{C}[t_1, t_2][z_1, ..., z_d]^{\operatorname{Sym}(d)}$$

takes the form

$$H^*_{(\mathbb{G}_m)_z \times T}(\mu_1^{-1}(0)) \ni z^m[\mu_1^{-1}(0)] \mapsto z_1^m \in \mathbb{C}[t_1, t_2][z];$$

see [SV13b, Theorem 4.7].

More generally, the spherical subalgebras $\mathcal{P}^{s} \subset \mathcal{P}$ and $\mathcal{SH}^{s} \subset \mathcal{SH}$ are defined to be the algebras generated by $\mathcal{P}_{\mathbf{d}}$ and $A_{GL_{\mathbf{d}} \times T}(\operatorname{Rep}(\overline{Q}, \mathbf{d}))$ with $|\mathbf{d}| = 1$, respectively. As (3.1) are isomorphisms for $\mathbf{d} = 1$, the induced map $\mathcal{P}^{s} \to \mathcal{SH}^{s}$ is surjective.

In general, the maps (3.1) are injective after localization but are not known to be injective in general. When the stack of preprojective representations is replaced by either the stack of semi-nilpotent representations or the stack of strongly semi-nilpotent representations considered in [SV20, DHM22], the injectivity of (3.1) is proved for Borel-Moore homology in [SV20, Proposition 4.6] using results of [D16]. In the case of strongly semi-nilpotent representations, [SV20, Theorem 5.18] furnishes a generating set for (an extension of) the associated COHA. This COHA can therefore be characterized as a shuffle algebra on certain explicit generators.

References

- [D16] B. Davison, The integrality conjecture and the cohomology of preprojective stacks. arXiv: 1602.02110v4
- [DHM22] B. Davison, L. Hennecart and S. Schlegel Mejia, BPS Lie algebras for totally negative 2-Calabi-Yau categories and nonabelian Hodge theory for stacks. arXiv:2122.07668v2
- [K20] D. Kaplan, Variations and Generalizations of Preprojective Algebras, Thesis (Ph.D.)–Imperial College London. 2020.
- [LM07] M. Levine and F. Morel, Algebraic cobordism, Springer Monographs in Mathematics. Springer, Berlin, Heidelberg, 2007.
- [SV13a] O. Schiffmann and E. Vasserot, The elliptic Hall algebra and the K-theory of the Hilbert scheme of A², Duke Math J. 162 (2013), no. 2, 279-366. arXiv: 0905.2555v3
- [SV13b] O. Schiffmann and E. Vasserot, Cherednik algebras, W-algebras and the equivariant cohomology of the moduli space of instantons on A², Publ. Math. Inst. Hautes Études Sci. 118 (2013), 213-242.
- [SV20] O. Schiffmann and E. Vasserot, On cohomological Hall algebras of quivers: generators. J. reine angew. Math. 760 (2020), 59-132. arXiv: 1705.07488v2
- [YZ18] Y. Yang and G. Zhao, The cohomological Hall algebra of a preprojective algebra. Proc. Lon. Math. Soc. 3 116 (2018), no. 5, 1029-1074. arXiv: 1407.7994v6
- [YZ20] Y. Yang and G. Zhao, On two cohomological Hall algebras, Proc. Roy. Soc. Edinburgh Sect. A, 150 (2020), no 3, 1581-1607. arXiv: 1604.01477v2

Email address: n.arbesfeld@imperial.ac.uk